# FEEDBACK CANONICAL FORMS OF SINGULAR SYSTEMS

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We consider the action of Proportional-Derivative Feedback and Pure Proportional Feedback groups on the set of all singular systems. Both actions are characterized by complete lists of orbital invariants giving rise to canonical forms. Dynamic interpretations of these invariants are given.

#### 1. INTRODUCTION

We consider the linear, time-invariant singular systems described by:

$$E\dot{x} = Ax + Bu \tag{1.1}$$

where  $x \in \mathcal{X} \simeq \mathbb{R}^n \in \mathcal{U} \simeq \mathbb{R}^m$ ,  $(E, A, B) \in \mathbb{R}^{q \times n} \times \mathbb{R}^{q \times n} \times \mathbb{R}^{q \times m}$ . Note that E and A are non square. We can always assume without loss of generality that B is monic.

In the sequel, (1.1) will be referred to by the triple (E, A, B). Now, let  $\Sigma$  denote the set of all such (E, A, B)'s. Define  $\tau_{PD} = \{(W, V, G, F_P, F_D) \in \mathbb{R}^{q \times q} \times \mathbb{R}^{n \times n} \times \mathbb{R}^{m \times m} \times \mathbb{R}^{m \times n} \times \mathbb{R}^{m$ 

$$(W, V, G, F_P, F_D) \circ (E, A, B) = (W^{-1}(E + BF_D) V, W^{-1}(A + BF_P) V, W^{-1}BG).$$

Further define a subgroup  $\tau_P$  of  $\tau_{PD}$  by the condition  $F_D = 0$ .  $\tau_P$  (resp.  $\tau_{PD}$ ) is called the Proportional (resp. Proportional and Derivative) Feedback group.

In Section 2, we consider the action of  $\tau_{PD}$  on  $\Sigma$ . A complete list of orbital invariants and P.D. canonical form for this action are presented algebraically. Then, we consider in Section 3 the action of  $\tau_P$  on the same set  $\Sigma$  and derive from the P.D. canonical form an algebraic P. canonical form. A complete list of orbital invariants for this action is given and is shown to have a precise dynamical meaning (at least

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in the square case (q = n), in the light of which, we further develop, in Section 4, another dynamical P. canonical form which exhibits various structural properties of the system in a very transparent way. Section 5 is devoted to concluding remarks.

#### 2. PROPORTIONAL-DERIVATIVE CANONICAL FORM

Associated with (1.1) is the so-called restricted pencil sNE-NA [5], where N is a basis matrix for the canonical projection  $\mathcal{X} \to \mathcal{X}/\text{Im } B$ , that is a maximal rank solution of NB = 0. Starting from two systems (E, A, B) and (E', A', B') like (1.1) with same dimensions n, q and m, the following result is an obvious consequence of the fact that  $(NE, NA) = (N(E + BF_D), N(A + BF_P))$  for all  $F_P$  and  $F_D$ .

**Proposition 2.1.** (E, A, B) and (E', A', B') are equivalent under the action of  $\tau_{PD}$  if and only if their associated restricted pencils are Kronecker's equivalent, that is iff there exist non singular P and Q such that:

$$P[N(sE - A)] Q = N'(sE' - A')$$
(2.1)

Proof. i) only if part:  $(E', A', B') = (W^{-1}(E + BF_D) V, W^{-1}(A + BF_P) V, W^{-1}BG)$  implies N' = NW and thus:  $N'(sE' - A') = N[s(E + BF_D) V - (A + BF_P) V] = N(sE - A) V = P[N(sE - A)] Q$  with P = I and Q = V.

ii) if part: N'(s(E'-A')) = P[N(sE-A)]Q with P and Q invertible. Since both N and N' are epic (of rank q-m), there exist invertible T and T' such that  $PNT = \begin{bmatrix} \mathbf{1}_{q-m} & 0 \end{bmatrix} = N'T'$ , that is, with  $W = :TT'^{-1}$ :

$$N' = PNW, (2.2)$$

This, combined with (2.1), gives N[W(sE'-A')-(sE-A)Q]=0, which is equivalent to: W(sE'-A')-(sE-A)Q=BL(s), where L(s) is obviously a polynomial matrix of degree one. Write it as:  $L(s)=(sF_D-F_P)Q$ , then  $sE'-A'=W^{-1}[s(E+BF_D)-(A+BF_P)]V$  with V=Q. Finally, from (2.2) directly follows: NWB'=0 which implies WB'=BG for some invertible G, which ends the proof.

This immediately shows that a complete list of invariants for the action of  $\tau_{PD}$  on  $\Sigma$  is given by the so-called Kronecker's invariants of the restricted pencil. This corresponds to the following P.D. canonical form for (E, A, B) under  $\tau_{PD}$ :

$$sE_{PD} - A_{PD} = \begin{bmatrix} sE_K - A_K \\ \Phi(s) \end{bmatrix} \quad B_{PD} = \begin{bmatrix} \mathbf{0}_{(q-m)\times m} \\ \mathbf{1}_{m\times m} \end{bmatrix}$$
 (2.3)

where  $\Phi(s) \equiv \mathbf{0}_{m \times n}$ ,  $\mathbf{1}_{m \times m}$  is the  $(m \times m)$  identity and  $(sE_K - A_K)$  is the Kronecker normal form [3] of (sNE - NA), that is:

$$(sE_K - A_K) = \text{block diagonal } (sE_{K_i} - A_{K_i}) \text{ with:}$$

For the finite elementary divisors (f.e.d.),  $(sE_{K_1} - A_{K_1}) = block diag. (L_{\alpha_{ij}})$ :

$$L_{\alpha_{ij}} = \begin{bmatrix} s - \alpha_i & 1 & 0 & . & 0 \\ 0 & . & . & . & . \\ . & . & . & . & 0 \\ . & . & . & . & 1 \\ 0 & . & . & 0 & s - \alpha_i \end{bmatrix} \downarrow$$

$$\leftarrow k_{ij} \rightarrow (2.4)$$

For the column minimal indices (c.m.i.),  $(sE_{K_2} - A_{K_2}) = block diag. (L_{\epsilon_i})$ :

For the row minimal indices (r.m.i.).  $(sE_{K_3} - A_{K_3}) = block diag. (L_{n_i})$ :

$$L_{\eta_{i}} = \begin{bmatrix} s & 0 & . & 0 \\ 1 & s & 0 & 0 \\ . & . & . & . \\ 0 & . & 1 & s \\ 0 & . & 0 & 1 \end{bmatrix} \eta_{i} + 1$$

$$\leftarrow \eta_{i} \rightarrow$$
(2.6)

and for the infinite elementary divisors (i.e.d.),  $(sE_{K_4} - A_{K_4}) = block diag. (L_{n_i})$ :

$$L_{n_{i}} = \begin{bmatrix} 1 & 0 & . & . & 0 \\ s & 1 & 0 & . & 0 \\ . & . & . & . & . \\ . & 0 & s & 1 & 0 \\ . & . & 0 & s & 1 \end{bmatrix} \downarrow$$

$$\leftarrow n_{i} \rightarrow (2.7)$$

This P.D. canonical form of (E, A, B) is presented in a more condensed form (Tableau) in Appendix 1.

Recall that  $\varepsilon_i = 0$  (resp.  $\eta_i = 0$ ) corresponds to a zero column (resp. row). The polynomials  $(s - \alpha_i)^{k_{ij}}$  and the integers  $\varepsilon_i$ ,  $\eta_i$  and  $n_i$  are uniquely characterized, for instance in the following geometric way, [7].

. Consider the following algorithms (for details about them, see for instance [11]:

$$\mathscr{V}_0 = \mathscr{X}, \quad \mathscr{V}_i = A^{-1}(\operatorname{Im} B + E\mathscr{V}_{i-1}) \quad i \ge 1, \quad \operatorname{limit} \mathscr{V}^*$$
 (2.8)

$$\mathcal{R}_{a0} = 0$$
,  $\mathcal{R}_{ai} = E^{-1}(\operatorname{Im} B + A \mathcal{R}_{ai-1})$   $i \ge 1$ ,  $\operatorname{limit} \mathcal{R}_a^*$  (2.9)

and adopt the following notation: let  $\{a_i\}$  be a list of positive integers in non decreasing order  $(a_1 \ge a_2 \ge \ldots)$ , then this list is in one-to-one correspondence

with the non decreasing list  $\{\bar{a}_i\}$  defined by:  $\bar{a}_i = :$  number of  $a_j$ 's which are greater than or equal to  $i, i \ge 1$ .

**Proposition 2.2.**  $\{(s-\alpha_i)^{k_{ij}}\}$  is the list of the invariant factors of the map  $(E\mathscr{V}^*|E\mathscr{R}^*\|A+BF\|\mathscr{V}^*|\mathscr{R}^*)$ , induced by A+BF in the quotient spaces  $\mathscr{V}^*|\mathscr{R}^*$  and  $E\mathscr{V}^*|E\mathscr{R}^*$ , where F is any "friend" of  $\mathscr{V}^*$  i.e. such that  $(A+BF)\mathscr{V}^*\subset E\mathscr{V}^*$ , and  $\mathscr{R}^*=\mathscr{V}^*\cap\mathscr{R}^*_a$ .

$$\bar{\varepsilon}_{i-1} = \dim\left((\mathscr{V}^* \cap \mathscr{R}_{ai}) \middle| (\mathscr{V}^* \cap \mathscr{R}_{ai-1})\right), \quad i \ge 1$$

$$\bar{\eta}_i = \dim\left((\mathscr{R}_a^* + \mathscr{V}_{i-1}) \middle| (\mathscr{R}_a^* + \mathscr{V}_i)\right), \quad i \ge 1$$

and the total number (#) of  $\eta_i$ 's (including zero ones) is given by:

$$\# \eta_i \text{'s} = n - \dim \left( E \mathscr{V}^* + A \mathscr{R}_a^* + \operatorname{Im} B \right)$$

$$\bar{n}_i = \dim \left( (\mathscr{V}^* + \mathscr{R}_{ai}) \middle| (\mathscr{V}^* + \mathscr{R}_{ai-1}) \right), \quad i \ge 1$$

We shall now consider the transformation subgroup  $\tau_P$  and show how to derive from the previous P.D. canonical form an algebraic P. canonical form.

#### 3. ALGEBRAIC P. CANONICAL FORM

An obvious inspection of our previous P.D. canonical form (2.3)-(2.7) shows that the following form can be derived from any (E, A, B), using only (W, V, G, O, O) elements of  $\tau_{PD}$  (that is with no feedback at all):

$$sE_0 - A_0 = \left\lceil \frac{sE_K - A_K}{\Phi(s)} \right\rceil \quad B_0 = \left\lceil \frac{\mathbf{0}_{(q-m) \times m}}{\mathbf{1}_{m \times m}} \right\rceil \tag{3.1}$$

with  $sE_K - A_K$  as described in (2.4)-(2.7) but with now  $\Phi(s) \neq 0$ :

$$\Phi(s) = s\Phi_D + \Phi_P \tag{3.2}$$

Step 1: Due to the particular canonical form of  $(sE_K - A_K)$ , a first simple inspection shows that, by using only constant invertible-row-operations on  $sE_0 - A_0$ , it is possible to eliminate most of the  $\Phi_{D_{ij}}$ 's entries: all the columns of  $\Phi_D$ , except those corresponding to the last column of any  $L_{\varepsilon_i}$  or  $L_{n_i}$  block (see (2.5), (2.7)) can be made zero in such a trivial way. Note that this kind of "elementary transformations" do not change the form of  $sE_K - A_K$ .

Step 2: A further inspection shows that, by using again only (W, V, G, O, O) elements of  $\tau_{PD}$ , it is also possible, without extra alteration, to eliminate other entries in  $\Phi_D$ . Indeed, any column  $\phi_j$  of  $\Phi_D$  can be replaced by  $\alpha \phi_j + \beta \phi_i$ ,  $(\alpha \neq 0)$ , (and hence be "reduced" by  $\alpha_i$ ), if and only if i and j respectively correspond to the last column of blocks  $L_{\lambda_i}$  and  $L_{\lambda_i}$  (from (2.5), (2.7)) with either:

- i)  $\lambda_i = \varepsilon_i \leq \varepsilon_j = \lambda_j$
- ii)  $\lambda_i = n_i \ge n_j = \lambda_j$
- iii)  $\lambda_i = \varepsilon_i$  and  $\lambda_j = n_j$

Every "elementary transformation" of this kind can be easily formalized (details are given in Appendix 3) and we just illustrate here these transformations through simple but sufficiently explicit examples:

i) 
$$\varepsilon_{1} = 0$$
,  $\varepsilon_{2} = 1$  i.e.:
$$\begin{bmatrix} 0 & L_{\varepsilon_{2}} \\ \Phi_{D}(s) \end{bmatrix} = \begin{bmatrix} \boxed{0} & \boxed{s} & 1 \\ \boxed{s} & \boxed{0} & s \end{bmatrix}$$

$$\begin{bmatrix} \boxed{0} & \boxed{s} & 1 \\ \boxed{s} & \boxed{0} & s \end{bmatrix} \cdot \begin{bmatrix} 1 & 0 & -1 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix} = \begin{bmatrix} \boxed{0} & \boxed{s} & 1 \\ \boxed{s} & \boxed{0} & 0 \end{bmatrix}$$
ii)  $\mathbf{n}_{1} = 2$ ,  $\mathbf{n}_{2} = 1$ , i.e.:

ii) 
$$n_1 = 2$$
,  $n_2 = 1$  i.e.:

$$\begin{bmatrix} L_{n_1} & 0 \\ 0 & L_{n_2} \\ \hline \Phi_D(s) \end{bmatrix} = \begin{bmatrix} 1 & 0 \\ s & 1 \\ \hline 0 & s & s \end{bmatrix}$$

$$\begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 1 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} 1 & 0 & 0 \\ s & 1 \\ \hline 0 & s & s \end{bmatrix} \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & -1 \\ 0 & 0 & 1 \end{bmatrix} = \begin{bmatrix} 1 & 0 \\ s & 1 \\ \hline 0 & s & 0 \end{bmatrix}$$

iii) 
$$\epsilon_1 = 1$$
,  $n_1 = 1$  i.e.:

$$\begin{bmatrix} L_{\varepsilon_{1}} & 0 \\ 0 & L_{n_{1}} \\ \hline \Phi_{D}(s) \end{bmatrix} = \begin{bmatrix} s & 1 \\ \hline 1 \\ \hline 0 & s & s \end{bmatrix}$$

$$\begin{bmatrix} 1 & 1 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} s & 1 \\ \hline 1 \\ \hline 0 & s & s \end{bmatrix} \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & -1 \\ 0 & 0 & 1 \end{bmatrix} = \begin{bmatrix} s & 1 \\ \hline 1 \\ \hline 0 & s & 0 \end{bmatrix}$$

Step 3: The  $\mathbf{1}_{m \times m}$  block of  $B_0$  in (3.1) finally allows, by using Proportional Feedback  $F_P$ , to eliminate  $\Phi_P$  in (3.2).

In view of Step 2, let us order lists  $\{\varepsilon_i\}$  and  $\{n_i\}$  as:

$$\varepsilon_1 \leq \varepsilon_2 \leq \dots$$
 and  $n_1 \geq n_2 \geq \dots$ 

All the above-mentioned elementary transformations obviously bring  $\Phi(s)$  in (3.2) towards a special form where all the columns are zero except some (usually not all) associated with the last columns of blocks  $L_{\varepsilon_i}$  or  $L_{n_i}$ .

After reordering blocks  $\varepsilon_i$  and  $n_i$ ,  $\Phi(s)$  can be written as:

$$\Phi(s) = \begin{bmatrix}
0 & 0 & 0 & 0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 & 0 & 0 & 0
\end{bmatrix}$$

This reduction (of  $\Phi(s)$ ) obviously amounts to splitting each list  $\{\varepsilon_i\}$  and  $\{n_i\}$  into two separate sublists, depending on the associated zero or non zero column in  $\Phi(s)$ .

We are now able to give our first main Theorem describing the algebraic P. canonical form. For that, let use the following notation: block diag. (i) denotes the matrix where all blocks are zero except those (i) situated along the main diagonal and row block (i) denotes the matrix formed by each row block (i)

**Theorem 3.1.** Any (E, A, B) system like (1.1) can be given, through the action of  $\tau_P$ , the following equivalent form:

$$[sE_P - A_P] = \text{block diag.} [sE_{P_i} - A_{P_i}] \quad B_P = \text{row block} [B_{P_i}],$$

$$i = 1 \text{ to 6}, \qquad (3.2)$$

with for the f.e.d.:

$$[sE_{P_1} - A_{P_1}] = \text{block diag.} [L_{\alpha_{ij}}] \qquad B_{P_1} = 0$$
 (3.3)

and  $L_{\alpha_{ij}}$  as described in (2.4),

for the r.m.i.:

$$[sE_{P_2} - A_{P_2}] = \text{block diag.} [L_{\eta_i}] \qquad B_{P_2} = 0$$
 (3.4)

and  $L_{\eta_i}$  as described in (2.6),

for the "two types" of c.m..i.:

\* 
$$[sE_{P_4} - A_{P_4}]$$
 = block diag.  $[L_{\sigma_i}]$   $B_{P_4}$  =  $[block diag.  $[b_{\sigma_i}]$  0  $]$  (3.6)$ 

with 
$$L_{\sigma_i} = \begin{bmatrix} s & 1 & 0 & 0 & 0 \\ 0 & s & 1 & 1 & 1 \\ \vdots & \vdots & \ddots & \vdots & \vdots \\ \vdots & \vdots & \ddots & s & 1 \\ \vdots & \vdots & \ddots & \vdots & \vdots \\ & & & & & & & \\ & & & & & \\ & & & & & & \\ & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & \\ & & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & \\ & & & & \\ & & & \\ & & & \\ & & & \\ & & & & \\ & &$$

and for the "two types" of i.e.d.:

\* 
$$[sE_{P_5} - A_{P_5}] = \text{block diag.} [L_{q_i}] \quad B_{P_5} = 0$$

with  $L_{q_i} = \begin{bmatrix} 1 & 0 & . & 0 \\ s & 1 & 0 & . & 0 \\ . & . & . & . & . \\ . & 0 & s & 1 & 0 \\ . & . & 0 & s & 1 \end{bmatrix} \downarrow$ 
 $\leftarrow q_i \rightarrow$ 

\* 
$$[sE_{P_6} - A_{P_6}]$$
 = block diag.  $[L_{p_i}]$   $B_{P_6}$  =  $[0 \text{ block diag. } [b_{p_i}]]$  (3.8)  
with  $L_{p_i} = \begin{bmatrix} 1 & 0 & 0 \\ s & 1 & 0 & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & s & 1 \\ \vdots & 0 & s \end{bmatrix} \downarrow$   $p_i + 1$  and  $b_{p_i} = \begin{bmatrix} 0 \\ \vdots \\ 0 \\ 1 \end{bmatrix} \downarrow$ 

Further remark that:

 $\gamma_i = 1$  corresponds to a zero column in  $[sE_P - A_P]$ ,

 $\eta_i = 0$  corresponds to a zero row both in  $[sE_P - A_P]$  and in  $B_P$ , while

 $p_i = 0$  corresponds to a zero row in  $[sE_P - A_P]$  and a non zero row in  $B_P$ .

Note that if B is not monic (general case), we only have to add zero columns in  $B_p$ .

This Algebraic P. canonical form is described in a more condensed form (Tableau) in Appendix 2. Blocks of type 1 and 2 have already been geometrically described in Proposition 2.2. The following geometric characterization of blocks of type 3 to 6 establish invariance and thus canonicity. It directly derives from the inspection, on the previous "canonical" form, of algorithms (2.8) and (2.9) and also of the following variant of (2.9):

$$\mathcal{M}_{a0} = \text{Ker } E, \quad \mathcal{M}_{ai} = E^{-1}(\text{Im } B + A\mathcal{M}_{ai-1}) \quad i \ge 1,$$
 (3.9)

the limits of which satisfies  $\mathcal{M}_a^* = \mathcal{R}_a^*$  (since  $\mathcal{R}_{a0} \subset \mathcal{M}_{a0} \subset \mathcal{R}_{a1} \subset \ldots$ ),

Remembering the notation of Proposition 2.2, we have:

#### **Proposition 3.2.**

$$\bar{\gamma}_i = \dim\left((\mathscr{V}^* \cap \mathscr{M}_{ai-1})/(\mathscr{V}^* \cap \mathscr{R}_{ai-1})\right), \quad i \ge 1$$
(3.10)

$$\bar{\sigma}_i = \dim\left((\mathscr{V}^* \cap \mathscr{R}_{ai}) | (\mathscr{V}^* \cap \mathscr{M}_{ai-1})\right), \quad i \ge 1$$
(3.11)

$$\bar{q}_i = \dim \left( \mathcal{M}_{ai-1} \middle| \mathcal{R}_{ai-1} \right) - \bar{\gamma}_i, \quad i \ge 1$$
(3.12)

$$\bar{p}_i = \dim\left(\mathscr{R}_{ai}/\mathscr{M}_{ai-1}\right) - \bar{\sigma}_i, \qquad i \ge 1$$
(3.13)

and total number of  $p_i$ 's (with zero ones) =  $m - \bar{\sigma}_1$ .

We are now able to derive the dynamical P. canonical form.

#### 4. DYNAMICAL P. CANONICAL FORM

The limits  $\mathscr{V}^*$  and  $\mathscr{R}_a^*$  of algorithms (2.7) and (2.9)—(3.9) are respectively known as the supremal (A, E, Im B)-invariant subspace and the almost reachability subspace in  $\mathscr{X}$ .  $\mathscr{R}^* = \mathscr{V}^* \cap \mathscr{R}_a^*$  is the famous supremal reachability subspace of  $\mathscr{X}$  (for details and references, see for instance [11]).

Concepts like reachability and controllability are now well understood. Precise geometric characterizations have first been given in [9] for regular (square) systems and after for regularizable (square) systems [10]. This geometric result has also been extended to non square systems [2] (using Differential Inclusions technics). However, this non square case reveals some sharp specificities (the solution of the underlying Differential & Algebraic Equation is not unique and the associated degrees of freedom contribute to this reachability concept) which would make the justification of the following indices rather long. That is the reason why we shall from now on restrict our attention to square system (1.1) (i.e.: q = n). Note however that no other assumption will be needed (as regularity or regularizability or controllability...).

Since we shall in fact be able to check each of such properties directly from our invariants, some minimal definitions are certainly needed.

- (E, A, B) is regular when det (sE A) is not identically zero i.e.: (sE A) only has f.e.d. and i.e.d.,
- (E, A, B) is proper when  $(sE A)^{-1}$  not only exists but also is proper i.e. (sE A), only has f.e.d. and i.e.d. of order  $\leq 1$ ,
- (E, A, B) is strictly proper when  $(sE A)^{-1}$  not only exists but also is strictly proper i.e.: (sE A) only has f.e.d.

Regular, proper and strictly proper is changed into P. (or (P.D.)) regularizable, P. (or (P.D.)) properizable and P. (or (P. D.)) strictly properizable when the respective property holds for  $s(E + BF_D) - (A + BF_P)$  (or  $sE - (A + BF_P)$ ) for some  $F_P(\text{or}(F_D, F_P))$ , in place of (sE - A).

For a given (E, A, B), the properties of *reachability* and *controllability* are defined in accordance with the ability the system has to move from any initial point to any other one (reachability) or to the origin (controllability) along *non impulsive trajectories* (see for instance [9]). Then:

(E, A, B) is called P. (or (P. D.)) controllable (or reachable) when this property holds for some  $F_P$  (or  $(F_D, F_P)$ ).

The fine reachability structure of  $\mathcal{R}^*$  has been described in [11] where proper and non proper reachability indices have been introduced (see also [6] for their algebraic definition). The distinction between proper and non proper ones can be summarized as follows.  $\mathcal{R}^*$  can always be decomposed as the direct sum of singly generated reachability subspaces of dimension  $r_i$ , corresponding to reachability chains like:

$$0 = Ax_k + Bu_k$$
,  $Ex_k = Ax_{k-1} + Bu_{k-1}$ , ...,  $Ex_2 = Ax_1 + Bu_1$ ,  $Ex_1 = Bu_0$ .

Such a chain is called a proper (resp. non proper) reachability chain when  $Ex_1 \neq 0$  (resp.  $Ex_1 = 0$ ). Their lengths define the proper (resp. non proper) reachability indices noted as  $r_{pi}$  (resp.  $r_{npi}$ ). The combined use of (2.8), (2.9) and (3.9) gives a nice description for  $r_{pi}$ 's and  $r_{npi}$ 's (see [11]):

$$\bar{r}_{nni} = \dim\left((\mathscr{V}^* \cap \mathscr{M}_{ai-1})/(\mathscr{V}^* \cap \mathscr{R}_{ai-1})\right), \quad i \ge 1 \tag{4.1}$$

$$\bar{r}_{pi} = \dim\left((\mathscr{V}^* \cap \mathscr{R}_{ai}) \middle| (\mathscr{V}^* \cap \mathscr{M}_{ai-1})\right), \qquad i \ge 1 \tag{4.2}$$

The same kind of decomposition can be performed on  $(\mathcal{R}_a^*|\mathcal{R}^*)$ , leading to proper and non proper almost reachability indices noted  $\{r_{api}\}$  and  $\{r_{anpi}\}$  (note that we could call them purely almost reachability indices since purely reachability ones are inside  $\mathcal{R}^*$ ). These integers correspond to the dimensions of the (proper and non proper) singly generated almost reachability subspaces described by chains like:

$$Ex_k = Ax_{k-1} + Bu_{k-1}, ..., Ex_2 = Ax_1 + Bu_1, Ex_1 = Bu_0$$

and satisfying either  $Ex_1 \neq 0$  or  $Ex_1 = 0$ .

The following geometric characterization of  $r_{api}$  and  $r_{anpi}$  makes the pair with (4.1)-(4.2):

$$\bar{r}_{anpi} = \dim \left( \mathcal{M}_{ai-1} \middle| \mathcal{R}_{ai-1} \right) - \bar{\gamma}_i \quad i \ge 1 \tag{4.3}$$

$$\bar{r}_{api} = \dim\left(\mathcal{R}_{ai} \middle| \mathcal{M}_{ai-1}\right) - \bar{\sigma}_i, \quad i \ge 1 \tag{4.4}$$

Note that dim  $(\mathcal{M}_{ai-1}|\mathcal{R}_{ai-1})$  is naturally associated with all non proper almost reachability chains, that is including also those which are purely reachability ones, hence the term  $(-\bar{\gamma}_i)$  in (4.3) to retain only those which are purely (non proper) almost reachability chains. Idem for (4.4).

Direct comparison between (3.10)-(3.13) and (4.1)-(4.4) leads to:

$$r_{npi} = \gamma_i$$
;  $r_{pi} = \sigma_i$ ;  $r_{anpi} = q_i$  and  $r_{api} = p_i$ ,  $i \ge 1$  (4.5)

Due to this dynamical interpretation of the previous algebraic lists  $\{\gamma_i\}, \ldots, \{p_i\}$ , it is now possible to describe the dynamical P. canonical form. For that, first note that the inspection of the rectangular blocks in  $[sE_P - A_P]$  ((3.2)) and the fact that E and A are square obviously lead to the following relationship:

$$\#\{\gamma_i\} = \#\{p_i = 0\} + \#\{p_i \ge 1\} + \#\{\eta_i\}$$

$$\tag{4.6}$$

where # means "total number of elements in the set".

We can thus, by associating  $\gamma_i$ -terms with  $p_i$  or  $\eta_i$  ones, define independent subsystems which dynamic properties are precise and different.

The three possibilities are:

- i) P-feedback association of  $\gamma_i$  with  $p_i = 0$ : this gives rise to a regular and reachable subsystem,
- ii) P-feedback association of  $\gamma_i$  with  $p_i \ge 1$ : this gives rise to a regular subsystem,
- iii) association of  $\gamma_i$  with  $\eta_i$ : this gives rise to a non regular subsystem.

Examples are given below, the first matrix on the left being  $[sE_P - A_P : B_P]$ :

i) 
$$\gamma_1 = 2, p_1 = 0$$
:
$$\begin{bmatrix}
s & 1 & 0 \\
\hline
0 & 0 & 1
\end{bmatrix}
\xrightarrow{F_P}
\begin{bmatrix}
s & 1 & 0 \\
\hline
1 & 0 & 1
\end{bmatrix}
\xrightarrow{(W,V)}
\begin{bmatrix}
1 & s & 0 \\
\hline
0 & 1 & 1
\end{bmatrix}$$

$$\begin{bmatrix}
1 & 0 & 0 & 0 \\
s & 0 & 0 & 1 \\
0 & s & 1 & 0
\end{bmatrix}
\xrightarrow{F_P}
\begin{bmatrix}
1 & 0 & 0 & 0 \\
s & 1 & 0 & 1 \\
0 & s & 1 & 0
\end{bmatrix}
\xrightarrow{(w,v)}
\begin{bmatrix}
1 & s & 0 \\
0 & 1 & s \\
0 & 0 & 1
\end{bmatrix}
\xrightarrow{0}$$

iii) 
$$\gamma_1 = 2, \eta_1 = 1$$
:

$$\begin{bmatrix}
s & 0 & 0 & 0 \\
1 & 0 & 0 & 0 \\
0 & s & 1 & 0
\end{bmatrix}
\xrightarrow{(w,v)}
\begin{bmatrix}
1 & s & 0 \\
0 & 0 & s \\
0 & 0 & 1
\end{bmatrix}
\begin{bmatrix}
0 \\
0 \\
0
\end{bmatrix}$$

Associations of type i), ii), and iii) leading to systems with more or less "pathologies" (non reachable, non regular), we shall adopt the following association rule which "minimizes pathologies": assume that  $\gamma_i$ 's are in non decreasing order and decompose this list in three ordered parts. Then association iii) is performed with "smallest"  $\gamma_i$ 's, ii) with "medium"  $\gamma_j$ 's and i) with "highest"  $\gamma_i$ 's. This can be summarized in the following dynamical P. canonical form expressed in terms of  $r_{npi}$ ,  $r_{pi}$ , ... (see (4.5)):

For any  $\mu$ , let us denote  $\mathbf{1}_{\mu}$ ,  $J_{\mu}$  and  $b_{\mu}$  respectively the  $(\mu \times \mu)$  identity matrix and the following  $(\mu \times \mu)$  nilpotent matrix and  $(\mu \times 1)$  vector:

$$J_{\mu} = \begin{bmatrix} 0 & 1 & 0 & . \\ 0 & . & 1 & 0 \\ . & . & 0 & 1 \\ 0 & . & 0 & 0 \end{bmatrix} \quad \text{and} \quad b_{\mu} = \begin{bmatrix} 0 \\ . \\ 0 \\ 1 \end{bmatrix}.$$

**Theorem 4.1.** Any (E, A, B) like (1.1) is equivalent, through the action of  $\tau_P$ , to the following form:

[block diag. 
$$E'_{P_i}$$
]  $\dot{x} =$ [block diag.  $A'_{P_i}$ ]  $x +$ row block [ $B'_{P_i}$ ]  $u$  (4.7)

with:

$$\begin{bmatrix} E'_{P_1} : A'_{P_1} \end{bmatrix} = \text{block diag.} \begin{bmatrix} \mathbf{1}_{k_{ij}} : J_{k_{ij}} - \alpha_i \, \mathbf{1}_{k_{ij}} \end{bmatrix} 
B'_{P_1} = 0$$
(4.8)

$$\left[E'_{P_2} : A'_{P_2}\right] = \text{block diag.} \left[\mathbf{1}_{r_{p_i}} : J_{r_{p_i}}\right]$$

$$B'_{P_2} = [\text{block diag.}[b_{r_{p_i}}] : 0 : 0]$$
 (4.9)

$$[E'_{P_3}: A'_{P_3}] = \text{block diag.}[J_{r_{anpi}}: \mathbf{1}_{r_{anpi}}]$$

$$B_{P_3}' = 0 (4.10)$$

$$[E'_{P_4} : A'_{P_4}] = \text{block diag.} [J_{r_{npi}} : \mathbf{1}_{r_{npi}}]$$

$$B'_{P_4} = [0 : \text{block diag.} [b_{r_{npi}}] : 0]$$

$$[E'_{P_5} : A'_{P_5}] = \text{block diag.} [J_{r_{npj}+r_{apk}} : \mathbf{1}_{r_{npj}+r_{apk}}]$$

$$B'_{P_5} = [0 : 0 : \text{block diag.} [\tilde{b}_{r_{npj}+r_{apk}}])]$$

$$(4.12)$$

with:

$$ilde{b}_{r_{npj}+r_{apk}} = egin{bmatrix} 0 \\ 0 \\ 1 \\ \dots \\ 0 \\ 0 \end{bmatrix} \uparrow \\ r_{apk} \\ 0 \end{bmatrix} \downarrow$$

$$[E'_{P_6} : A'_{P_6}] = \text{block diag.} [J_{r_{np1} + \eta_s} : \widetilde{\mathbf{1}}_{r_{np1} + \eta_s}] \quad B'_{P_6} = 0$$
 (4.13)

with:

$$\widetilde{\mathbf{1}}_{r_{np1}+\eta_s} = \mathbf{1}_{r_{np1}+\eta_s} - \begin{bmatrix} 0 & \cdots & 0 & \cdots & 0 \\ & \cdots & & & & \\ & \cdots & 0 & \cdots & \\ & \cdots & 0 & \cdots & \\ & \cdots & & & & \\ 0 & \cdots & 0 & \cdots & 0 \end{bmatrix} \begin{matrix} \uparrow \\ r_{npl} \\ \downarrow \\ \uparrow \\ \eta_s \\ \downarrow \end{matrix}$$

For each subsystem, we can describe the Kronecker structure of each block of  $[\lambda E'_{P_i} - A'_{P_i}]$  and  $[\lambda E'_{P_i} - A'_{P_i}]$ :

i	block of $[\lambda E'_{Pi} - A'_{Pi}]$	block of $[\lambda E'_{Pi} - A'_{Pi} \mid B'_{Pi}]$
1	f.e.d. of order $k_{ij}$ (at $\alpha_i$ )	f.e.d. of order $k_{ij}$ & zero c.m.i.
2	f.e.d. of order $r_{pi}$ at $\lambda = 0$	c.m.i of order $r_{pi}$
3	i.e.d. of order r <sub>anpi</sub>	i.e.d. of order $r_{anpi}$ & zero c.m.i.
4	i.e.d. of order $r_{npi}$	i.e.d. of order 1 & c.m.i. of order $r_{npi} - 1$
5	i.e.d. of order $r_{npj} + r_{apk}$	i.e.d. of order $r_{apk} + 1$ & c.m.i. of order $r_{npj} - 1$
6	c.m.i. of order $r_{npl} - 1$ & r.m.i. of order $\eta_s$	c.m.i. of order $r_{npl} - 1$ & r.m.i. of order $\eta_s$ & zero c.m.i.

Dynamic properties of (E, A, B) can also be inspected through the existence  $(\times)$  or absence  $(\emptyset)$  of certain invariants:

Property of $(E, A, B)$	$(s-\alpha_i)^{kij}$	$\eta_i$	$r_{npi}$	$r_{pi}$	r <sub>anpi</sub>	r <sub>api</sub>
General (E, A, B)	×	×	×	×	×	×
P. D. "regularizability"	×	Ø	×	×	×	×
P. "regularizability"	X	Ø	×	X	×	×
P. D. "properizability"	×	Ø	×	X	=1	= 1
P. "properizability"	×	Ø	×	X	=1	Ø
P. D. "strictly properizability"	×	Ø	×	X	Ø	Ø
P. "strictly properizability"	×	Ø	Ø	×	Ø	Ø
P. D. controllability	Ø	Ø	×	×	=1	= 1
P. controllability	Ø	Ø	×	×	= 1	Ø
P. D. reachability	Ø	Ø	×	X	ø	ø
P. reachability	Ø	Ø	×	×	ø	Ø
regularity for all F <sub>P</sub>	×	Ø	ø	×	×	Ø
regularity for all $F_P$ & all $F_D$	X	Ø	Ø	ø	×	ø

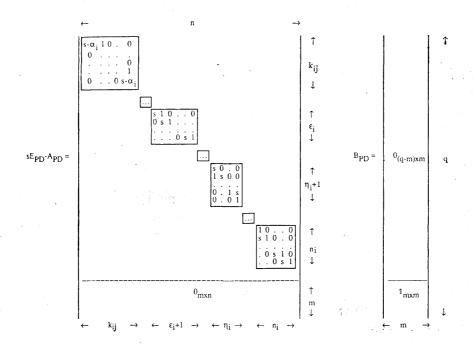
### 5. CONCLUSION

We have characterized the orbits of the set  $\Sigma$  of all singular systems (E, A, B) with E and A non square, under the actions of both Proportional & Derivative and Pure Proportional Feedback groups. Non square (E, A, B) models can be very usefull, for instance (with q < n) for describing systems with extra degrees of freedom (we could say with extra "unspecified inputs") which the designer does not want to choose once and for ever in his model. As a direct application of this flexibility offered by non square descriptions, we can mention the description of some variable structure systems (systems with variable order, variable gain, and to some extend time-variable parameters) (see [1]).

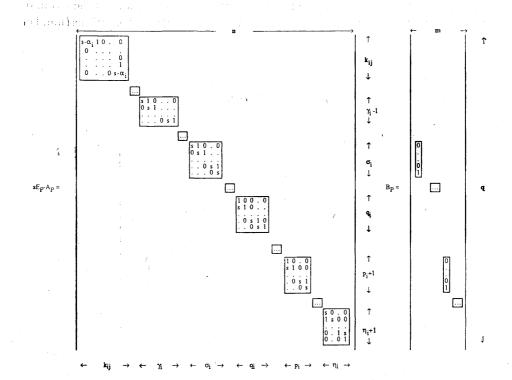
No restrictive assumption (like regularity, regularizability, controllability, ...) has been made. Our results are very similar to those of [4] obtained independently but restricted only to the case of regular & controllable systems. Moreover, it has to be noticed that this kind of algebraic P. canonical form has been extended to systems with an output equation [8] (the transformation group also includes P or P. D. output injections and changes of bases in the output space.).

To conclude we must note that further geometric and dynamical interpretations of the indices introduced in the paper and justifications/modifications of the terminology need to be developed in the general case of non square state descriptions (number of equations different from the number of state variables.).

APPENDIX 1: P. D. CANONICAL FORM



## APPENDIX 2: ALGEBRAIC P. CANONICAL FORM



# APPENDIX 3: TRANSFORMATIONS LEADING TO THE P. ALGEBRAIC FORM

This Appendix is devoted to the development of the elementary (W, V, G, 0, 0) transformations used in Step 1 and Step 2 of our procedure for the obtention of our Algebraic P. Canonical Form from the P.D. Canonical one (recall that Step 3 is just the application of some Proportional Feedback which will cancell the remaining  $\Phi_P$  term). More precisely we can describe some Algorithm giving rise to the canonical form of Theorem 3.1:

We can always assume that sE - A and B have the following special form (if not, an abvious  $(W_0, V_0, G_0, 0, 0)$  transformation does the job):

$$sE - A = \left[\frac{sE_K - A_K}{\Phi(s)}\right] B = \left[\frac{\mathbf{0}_{(q-m)\times m}}{\mathbf{1}_{m\times m}}\right]$$

with  $sE_K - A_K$  the Kronecker Normal form of N(sE - A) (recall (2.4)-(2.7)) and  $\Phi(s) = s\Phi_D + \Phi_P$ .

- i) With the help of invertible rows operations, it is possible to cancel most of the  $\Phi_{Dij}$ 's entries except those corresponding to the last column of  $L_{\epsilon i}$  or  $L_{ni}$  blocks (recall (2.3)-(2.5)).
- ii) With the help of invertible column operations, it is possible to reorder the columns of (sE A) in such a way that  $\Phi(s)$  has the following form with  $\varepsilon_1 \le \varepsilon_2 \le \ldots$  and  $n_1 \ge n_2 \ge \ldots$ :

$\leftarrow$ $\epsilon_1$	$\rightarrow \epsilon$	$\leftarrow$ $\epsilon_2$	$\rightarrow$	 $\leftarrow n_1$	$\rightarrow$	$\leftarrow n_2$	$\rightarrow$	
	×		×		×		×	
							-	
(0)		(0)		 (0)		(0)		• • •
	×		×		×		×	

where  $\times$  stands for unspecified entries. We shall note  $l_1 = \varepsilon_1$ ,  $l_2 = \varepsilon_1 + \varepsilon_2$ , ..., the corresponding unspecified columns of  $\Phi(s)$ .

- iii) If the first column of this reordered  $\Phi(s)$  is not zero, then perform the following reductions. Else go directly to iv).
  - iii) a) Normalize column  $l_1$  in order that  $\Phi(s)$  has the following form:

$\leftarrow$ $\epsilon_1$	<b>→</b> ←	$ \epsilon_2$	$\rightarrow$	 $\leftarrow n_1$	<del>-→</del>	$\leftarrow n_2$	$\rightarrow$	
	1		×		×		×	
	0							
(0)	.	(0)		 (0)		(0)		
	.		.					
	0		×		×		×	

iii b) Reduce the first row, with the help of elementary transformations of type  $T_1$  and  $T_2$  (described below):

$\leftarrow$ $\epsilon_1$	→•	$\vdash$ $\epsilon_2$	$\rightarrow$	 $\leftarrow n_1$	$\rightarrow$	$\leftarrow n_2$		•
	1		0		0		0	
	0		×		×		×	
(0)	.	(0)		 (0)		(0)		
j								
	0		×		×		×	

- iv) consider then the following column  $l_2$ , and perform a similar treatment as in iii). Do this for each column  $l_i$ , and use elementary transformations of type  $T_3$  when columns of blocks  $L_n$ ,'s are only concerned.
- v) When all the columns  $l_i$  have been normalized, then reorder the different blocks and just obtain the desired result.

Transformations  $T_1 = (W_1, V_1, G_1, 0, 0)$ : cancellation of a cross entry  $(X = \alpha s)$  in the case  $\varepsilon_i \le \varepsilon_i$ .

$$W_1 = \mathbf{1}_a + W(\varepsilon_i, \varepsilon_i), \quad V_1 = \mathbf{1}_n + V(\varepsilon_i, \varepsilon_i), \quad G_1 = \mathbf{1}_m$$

with all blocks in  $W(\varepsilon_i, \varepsilon_j)$  equal to 0 except the block  $W\varepsilon_i\varepsilon_j$  in row stripe  $\varepsilon_i$  and in column stripe  $\varepsilon_j$ , with all blocks in  $V(\varepsilon_i, \varepsilon_j)$  equal to 0 except the block  $V\varepsilon_i\varepsilon_j$  in row stripe  $\varepsilon_i + 1$  and in column stripe  $\varepsilon_j + 1$ , and:

$$W_{\varepsilon_{i}\varepsilon_{j}} = \begin{bmatrix} 0 & 0 & \alpha & 0 & 0 \\ 0 & 0 & 0 & \alpha & 0 \\ \vdots & \vdots & \ddots & \ddots & \vdots \\ 0 & 0 & 0 & 0 & \alpha \end{bmatrix} \uparrow \\ \varepsilon_{i} \qquad V_{\varepsilon_{i}\varepsilon_{j}} = \begin{bmatrix} 0 & 0 & -\alpha & 0 & 0 \\ 0 & 0 & 0 & -\alpha & 0 \\ \vdots & \vdots & \ddots & \vdots & \vdots \\ 0 & 0 & 0 & \vdots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & 0 & \vdots & 0 \\ \varepsilon_{j} + 1 & \longrightarrow \end{bmatrix} \uparrow \\ \varepsilon_{i} + 1$$

Transformations  $T_2 = (W_2, V_2, G_2, 0, 0)$ : cancellation of a cross entry  $(X = \alpha s)$  in the case  $\varepsilon_i$ ,  $n_i$ .

$$W_2 = \mathbf{1}_q + W(\varepsilon_i, n_j), \quad V_2 = \mathbf{1}_n + V(\varepsilon_i, n_j), \quad G_2 = \mathbf{1}_m$$

with all blocks in  $W(\varepsilon_i, n_j)$  equal to 0 except the block  $W\varepsilon_i n_j$  in row stripe  $\varepsilon_i$  and in column stripe  $n_j$ , with all blocks in  $V(\varepsilon_i, n_j)$  equal to 0 except the block  $V\varepsilon_i n_j$  in row stripe  $\varepsilon_i + 1$  and in column stripe  $n_j$ , and:

$$W\varepsilon_{i}n_{j} = \begin{bmatrix} 0 & 0 & \alpha & 0 & 0 \\ 0 & 0 & 0 & \alpha & 0 \\ \vdots & \vdots & \vdots & \vdots & \vdots \\ 0 & 0 & 0 & 0 & \alpha \end{bmatrix} \uparrow \varepsilon_{i} \text{ if } n_{j} \geq \varepsilon_{i} \text{ and } W\varepsilon_{i}n_{j} = \begin{bmatrix} 0 & 0 & 0 \\ \alpha & 0 & 0 \\ \vdots & \vdots & \vdots \\ 0 & 0 & \alpha \end{bmatrix} \downarrow \varepsilon_{i} \text{ if } n_{j} \leq \varepsilon_{i}$$

$$V\varepsilon_{i}n_{j} = \begin{bmatrix} 0 & 0 & -\alpha & 0 & 0 \\ 0 & 0 & -\alpha & 0 & 0 \\ \vdots & \vdots & \vdots & \vdots & \vdots \\ 0 & 0 & 0 & -\alpha & \alpha \end{bmatrix} \uparrow \varepsilon_{i} + 1 \text{ if } n_{j} \geq \varepsilon_{i} + 1 \text{ and}$$

$$V\varepsilon_{i}n_{j} = \begin{bmatrix} 0 & 0 & 0 \\ \vdots & \vdots & \vdots \\ 0 & 0 & 0 \end{bmatrix} \uparrow \varepsilon_{i} + 1 \text{ if } n_{j} < \varepsilon_{i} + 1 \text{ if } n_{j} <$$

Transformations  $T_3 = (W_3, V_3, G_3, 0, 0)$ : cancellation of a cross entry  $(X = \alpha s)$  in the case  $n_i \ge n_j$ .

$$W_2 = \mathbf{1}_q + W(n_i, n_i), \quad V_2 = \mathbf{1}_n + V(n_i, n_i), \quad G_2 = \mathbf{1}_m$$

with all blocks in  $W(n_i, n_j)$  equal to 0 except the block  $Wn_in_j$  in row stripe  $n_i$  and in column stripe  $n_j$ , with all blocks in  $V(n_i, n_j)$  equal to 0 except the block  $Vn_in_j$  in row stripe  $n_i$  and in column stripe  $n_j$ , and:

$$Wn_{i}n_{j} = \begin{bmatrix} 0 & \dots & 0 \\ \dots & \dots & \dots \\ 0 & \dots & 0 \\ \alpha & 0 & \dots & 0 \\ \dots & \dots & \dots & \dots \\ 0 & 0 & \alpha \end{bmatrix} \downarrow \qquad \qquad \begin{pmatrix} 0 & \dots & 0 \\ \dots & \dots & \dots \\ 0 & \dots & 0 \\ -\alpha & 0 & \dots & 0 \\ \dots & \dots & \dots \\ 0 & \dots & 0 & -\alpha \end{bmatrix} \downarrow$$

$$\leftarrow n_{i} \rightarrow \qquad \qquad \leftarrow n_{i} \rightarrow \qquad \qquad \leftarrow n_{i} \rightarrow \qquad \rightarrow n_{i} \rightarrow \qquad \rightarrow$$

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