KYBERNETIKA - VOLUME 20 (1984), NUMBER 3

## A SUPPLEMENT TO GOTTWALD'S NOTE ON FUZZY CARDINALS

MACIEJ WYGRALAK

We supplement the review of fuzzy cardinality definitions placed in [3]. To be exact, we present approaches in which cardinality of a finite fuzzy subset is expressed by a fuzzy natural number and indicate the most appropriate one.

S. Gottwald placed in [3] a comparative review of approaches to the problem how to define fuzzy cardinality, i.e. how to count elements of a universe which are in its fuzzy subset. In accordance with the concepts presented in [3], cardinality of a fuzzy subset was defined either as a non-negative real number or as a family of usual cardinals. In this note we shall present and compare such approaches in which cardinality of finite fuzzy subset is expressed by means of a fuzzy number. To this end, we must introduce some notation and terminology.

Throughout this note, by a fuzzy subset A of some fixed universal set U we shall mean a function  $A: U \to I$ , where I := [0, 1] with := standing for "equals by the definition". Membership grade of an element  $x \in U$  in A will be denoted by A(x). The classical subset  $\{x: A(x) > 0\}$  will be called support of A and denoted supp (A). If support of a fuzzy subset is finite, then that subset is called finite, too. Throughout the paper we shall assume that A is finite and card  $(\sup P(A)) = n$ , where card (M) denotes the usual cardinality of a classical subset M of U. The subset  $A_t := \{x: A(x) \ge t\}$ , where  $t \in I_0$  and  $I_0 := \{0, 1]$ , is called *t*-level set of A. The sequence

 $a_0 \ge a_1 \ge a_2 \ge \dots \ge a_n > a_{n+1} = a_{n+2} = a_{n+3} = \dots$ 

is defined in the following way:  $a_0 := 1$ ,  $a_i (1 \le i \le n)$  denotes the *i*th element in descending sequence consisting of positive membership grades in A,  $a_i := 0$  for i > n.

Let  $N := \{0, 1, 2, ...\}$ . If  $F : N \to I$  (i.e. U := N), the F will be called fuzzy natural number (in short, fn-number). F is said to be convex iff  $F(j) \ge \min(F(i))$ ,

240

F(k) for each triplet  $i \leq j \leq k$  (cf. [4]). Let  $\oplus$  denote addition of fn-numbers. Then the fn-number  $F \oplus G$  is defined by membership grades

$$(F \oplus G)(k) := \sup_{i+j=k} \min (F(i), G(j))$$

As a chronologically first fuzzy approach to cardinality of finite fuzzy subsets, we shall consider the fn-number  $FGCount_A^0$  (see [1, 7]) with membership grades

$$\mathsf{FGCount}_{A}^{0}(k) := \begin{cases} \max \left\{ t \in I_0 : \operatorname{card} (A_t) = k \right\}, \\ 0 \text{ if } \operatorname{card} (A_t) \neq k \text{ for each } t \end{cases}$$

The values FGCount<sup>0</sup><sub>A</sub>(k) may be considered degrees to which cardinality of A equals k. One can easy notice (see [1]) that FGCount<sup>0</sup><sub>A</sub>

(a) is always normalized, i.e. there exists a natural number h such that  $FGCount_A^0(h) =$ = 1.

(b) is strictly decreasing on its support,

(c) is a non-convex fn-number,

(d) does not fulfil the additivity property

 $\mathsf{FGCount}^0_A \oplus \mathsf{FGCount}^0_B = \mathsf{FGCount}^0_{A \cap B} \oplus \mathsf{FGCount}^0_{A \cup B}$ 

where  $A \cap B$  and  $A \cup B$  denote (resp.) intersection and union of A and B, i.e.  $(A \cap B)(x) := \min(A(x), B(x)), (A \cup B)(x) := \max(A(x), B(x)).$ 

In order to avoid the lack of convexity, an important modification of the definition of FGCount<sup>0</sup><sub>A</sub> was proposed in [2] and [8]. As a consequence, we get then a new fn-number defining fuzzy cardinality, namely the FGCount, where

$$\mathsf{FGCount}_{A}(k) := \begin{cases} \max \{ t \in I_0 : \operatorname{card} (A_t) \ge k \}, \\ 0 \text{ if } \operatorname{card} (A_t) < k \text{ for each } t. \end{cases}$$

Let T be a finite fn-number such that  $T(0) = g_0, T(1) = g_1, ..., T(s) = g_s$  and T(r) = 0 for r = s + 1, s + 2, .... In such a case we shall use the following "vectorial" notation  $T = (g_0, g_1, ..., g_s)$ .

It is easy to prove (see e.g. [2], [6], [8]) that the following propositions are valid: (a) FGCount<sub>A</sub>(k) = max FGCount<sub>A</sub>(j). (b) FGCount<sub>A</sub> = ( $a_0, a_1, ..., a_n$ ). Hence FGCount<sub>A</sub> is convex.

- (c) If  $A \subset B$ , then FGCount<sub>A</sub>  $\subset$  FGCount<sub>B</sub> (monotonicity).

Remark.  $Y \subset Z := (Y(x) \leq Z(x) \text{ for all } x \in U).$ 

(d)  $FGCount_A \oplus FGCount_B = FGCount_{A \cap B} \oplus FGCount_{A \cup B}$  (additivity).

Let D denote a classical *n*-element subset of U. Then, contrary to expectation, we get  $FGCount_p = (1, 1, ..., 1)$  with support of  $FGCount_p$  consisting of n + 1elements. This result is sensible provided that  $FGCount_A(k)$  defines degree to which A has at least rather than exactly k elements. Thus FGCount, as definition of fuzzy cardinality, is unsatisfactory. Namely, for classical subsets it does not collapse to

241

usual cardinal number. That is why a next definition of fuzzy cardinality was introduced in [2]. To be exact, the new definition is again a simple modification of the previous one.

Let  $\mathscr{L}_k(A)$  denote the famil j of k-element classical subsets (of U) containing  $A_1$ . Then fuzzy cardinality of A will be defined by the finite fn-number  $\operatorname{Crd}_A$  with membership grades

$$\operatorname{Crd}_{A}(k) := \begin{cases} \max \min A(x), \\ z \in \mathcal{L}_{k}(A) & x \in \mathbb{Z} \\ 0 \text{ if } \mathcal{L}_{k}(A) \text{ is empty} \end{cases}$$

(if  $A_1$  is empty, what implies  $\mathscr{L}_0(A) = \{\emptyset\}$ , we additionally put min A(x) := 1).

One can consider  $\operatorname{Crd}_{A}(k)$  to be degree to which cardinality of A equals k. It is easy to verify that (cf. [2], [6])

- (a) Crd<sub>A</sub> = (0, 0, ..., 0, 1, a<sub>m+1</sub>, a<sub>m+2</sub>, ..., a<sub>n</sub>), where m := card (A<sub>1</sub>) and the constant sequence composed of zeros is m-element one. Thus Crd<sub>A</sub> is always convex.
- (b) Crd<sub>D</sub> = (0, ..., 0, 1) with the figure one placed at the (n + 1)th position and D as previously.
- $(c) \ \mathsf{Crd}_A \oplus \mathsf{Crd}_B = \mathsf{Crd}_{A \cap B} \oplus \mathsf{Crd}_{A \cup B}.$

(d) 
$$\operatorname{Crd}_{4} = \operatorname{FGCount}_{4} \operatorname{iff} \operatorname{card} (A_{1}) = 0.$$

Unfortunately, the monotonicity does not hold for Crd-cardinality. But it is quite obvious that property (b) excludes, in principle, monotonicity. On the other hand, property (b) is, from the practical as well set-theoretical points of view, more important than monotonicity.

This is well-known that the theory of fuzzy subsets is closely connected with the Lukasiewicz many-valued logic (see e.g. [5]). Indeed, it suffices to interpret each membership grade A(x) as representing the truth-value of the statement "x is in A". Therefore, the next approach is based on that logic.

Let  $\mathscr{P}_k(A)$  denote the family of all the k-element classical subsets of supp (A). Moreover, let  $p \to q := \min(1, 1 - p + q)$  (Lukasiewicz implication operator) and  $p \leftrightarrow q := \min(p \to q, q \to p)$  for  $p, q \in I$ . Then deg  $(R, S) := \inf_{x \in U} (R(X) \leftrightarrow S(x))$ 

for arbitrary fuzzy subsets R and S of U. One can consider deg (R, S) to be degree to which R equals S. Let us define finite fn-number Cd<sub>A</sub> by means of membership grades

$$\mathsf{Cd}_{A}(k) := \begin{cases} \max \{ \deg (A, Y) : Y \in \mathscr{P}_{k}(A) \} \\ 0 \text{ if } \mathscr{P}_{k}(A) \text{ is empty.} \end{cases}$$

Then  $\operatorname{Cd}_{A}(k)$  will be considered degree to which A has exactly k elements. This is, in fact, a quality of the best (using the criterion deg (A, Y)) approximation of A by elements from  $\mathscr{P}_{k}(A)$ . One can easy verify (see [6]) that

(a)  $\operatorname{Cd}_{A}(k) = \min(a_{k}, 1 - a_{k+1})$  for  $k = 0, 1, 2, \dots$ 

(b) For the classical *n*-element subset D of U we get  $Cd_D(n) = 1$  and  $Cd_D(j) = 0$  for  $j \neq n$ .

- (c)  $Cd_{A} = (1 a_1, 1 a_2, ..., 1 a_p, a_p, a_{p+1}, ..., a_n)$ , where  $p := \min \{l : a_l + a_{l+1} \le 1\}$ . Hence  $Cd_{A}$  is always convex.
- (d) At most one cardinal number is "favoured", i.e. there exists at most one natural number  $k_f$  such that  $Cd_A(k_f) > 0.5$ .
- (e) FGCount<sub>A</sub> = 2Cd<sub>0.5A</sub>, where membership grades in 0.5A and 2Cd<sub>0.5A</sub> are defined as follows: (0.5A)(x) := 0.5A(x) and  $(2Cd_{0.5A})(k) := \min(1, 2Cd_{0.5A}(k))$ .
- (f)  $\mathsf{Cd}_A \oplus \mathsf{Cd}_B = \mathsf{Cd}_{A \cap B} \oplus \mathsf{Cd}_{A \cup B}$ .
- (g) Let  $A^e$  denote the complement of A, i.e.  $A^e(x) := 1 A(x)$ . If U is finite and card (U) = m, then  $Cd_{A^e}(j) = Cd_A(m-j)$  for j = 0, 1, ..., m.

One can easy give counterexamples that both the important properties (d) and (g) do not hold for FGCount<sub>A</sub> and Crd<sub>A</sub>. Obviously (g) is a counterpart of the elementary law card  $(D^c) = m - \text{card}(D)$ , where D denotes now a classical subset of m-element universe.

To summarize the discussion, it seems to be more suitable to define cardinality of a finite fuzzy subset as a fuzzy natural rather than positive real number (or a family consisting of usual cardinals). Then the fn-number  $Cd_A$  is, from the settheoretical point of view, defined in a most natural way and fulfills many natural postulates (see e.g. properties (b), (f), (g)) except the monotonicity (what is, however, explicable).

## REFERENCES

- D. Dubois: Propriétés de la cardinalité floue d'un ensemble flou fini. BUSEFAL 5 (1981), P. Sabatier Univ., Toulouse, France, 11-12.
- [2] D. Dubois: A new definition of the fuzzy cardinality of finite fuzzy sets preserving the classical additivity property. BUSEFAL & (1981), P. Sabatier Univ., Toulouse, France, 65-67.
- [3] S. Gottwald: A note on fuzzy cardinals. Kybernetika 16 (1980), 156-158.
- [4] M. Mizumoto and K. Tanaka: Some properties of fuzzy numbers. In: Advances in Fuzzy Set Theory and Applications (M. M. Gupta, R. K. Ragade, R. R. Yager, Eds.), North-Holland, Amsterdam 1979, 153-164.
- [5] M. Wygralak: A few words on the importance of Jan Łukasiewicz works for fuzzy subsets theory. In: Proc. 8th Symp. on Numerical Methods and Appl. of Math., Academy of Economy Poznań, Poland (Sept., 1982), in print.
- [6] M. Wygralak: On fuzzy cardinalities and fuzzy binomial coefficient. Fuzzy Sets and Systems (submitted).
- [7] L. A. Zadeh: A theory of approximate reasoning. In: Machine Intelligence, Vol. 9. (J. E. Hayes, D. Michie, L. I. Mikulich, Eds.), John Wiley and Sons, New York 1979, 149–194.
- [8] L. A. Zadeh: Fuzzy probabilities and their role in decision analysis. In: Proc. IFAC Symp. on Theory and Appl. of Digital Control, New Delhi (January, 1982).

Dr. Maciej Wygralak, Institute of Mathematics, A. Mickiewicz University, Matejki 48/49, 60-769 Poznań. Poland.

243

(Received March 3, 1983.)